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re: purchase or sale of security from client

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re: use of hedge clauses

SEC Release No. IA-721, May 16, 1980

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SEC Release No. IC-10666, April 18, 1979

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SEC Release No. IC-11330, Sept. 2, 1980

re: indemnification of investment company direc-
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SEC Release No. IC-13005, Feb. 2, 1983

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Appendix V: Forms and SchedulesBecause Securities and Exchange Commission (SEC)
forms change frequently, we have decided to disconti-
nue printing them in the Money Manager’s Compliance
Guide. All relevant forms can be found on the SEC Web
site at <http://www.sec.gov/about/forms/secforms.htm>.

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